1	Regulated Ire1-dependent mRNA decay requires no-go mRNA degradation to maintain
2	endoplasmic reticulum homeostasis in S. pombe
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## 24 Abstract

25	The unfolded protein response (UPR) monitors and adjusts the protein folding capacity of
26	the endoplasmic reticulum (ER). In S. pombe, the ER membrane-resident
27	kinase/endoribonuclease Ire1 utilizes a mechanism of selective degradation of ER-bound
28	mRNAs (RIDD) to maintain homeostasis. We used a genetic screen to identify factors critical to
29	the Ire1-mediated UPR and found several proteins, Dom34, Hbs1 and Ski complex subunits,
30	previously implicated in ribosome rescue and mRNA no-go-decay (NGD). Ribosome profiling in
31	ER-stressed cells lacking these factors revealed that Ire1-mediated cleavage of ER-associated
32	mRNAs results in ribosome stalling and mRNA degradation. Stalled ribosomes iteratively served
33	as a ruler to template precise, regularly spaced upstream mRNA cleavage events. This clear
34	signature uncovered hundreds of novel target mRNAs. Our results reveal that the UPR in S.
35	pombe executes RIDD in an intricate interplay between Ire1, translation, and the NGD pathway,
36	and establish a critical role for NGD in maintaining ER homeostasis.
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38	150 words
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#### 42 Introduction

43 Membrane and secreted proteins fold and mature within the endoplasmic reticulum (ER) 44 before they are delivered to other compartments in the secretory pathway or to the plasma 45 membrane. An imbalance between the protein folding load and the protein folding capacity in 46 the ER leads to an accumulation of unfolded or misfolded proteins, a condition referred to as 47 "ER stress." ER stress triggers the unfolded protein response (UPR), a network of signal 48 transduction pathways that drive transcriptional programs to expand the ER's protein folding 49 capacity and reduce protein influx into the organelle through translational and mRNA 50 degradative mechanisms, thereby ensuring that the organelle remains in or returns to homeostasis 51 (Walter and Ron, 2011).

In metazoans, the UPR is orchestrated by three ER-resident sensors/signal transducers: the membrane tethered transcription factor ATF6 and the transmembrane kinases PERK and IRE1 ("Ire1" in accordance with the yeast nomenclature) (Gardner et al., 2013). Each sensor activates a downstream transcriptional gene expression program of UPR target genes. In addition to the transcriptional response, the PERK branch induces cell-wide attenuation of translation by phosphorylating the general eukaryotic translation initiation factor 2 (eIF2), reducing the protein folding load of the ER.

IRE1 catalyzes signaling through the most phylogenetically conserved branch of the UPR. It is a bifunctional transmembrane kinase/endonuclease activated by ER stress. In *S. cerevisiae* (and metazoans), Ire1 catalyzes the unconventional splicing of the mRNA encoding the transcription factor Hac1 (XBP-1 in metazoans) that activates a comprehensive transcription program (Acosta-Alvear et al., 2007; Calfon et al., 2002; Cox and Walter, 1996; Travers et al., 2000). Additionally, as first demonstrated in *D. melanogaster*, Ire1 initiates a reaction termed

65 regulated Ire1-dependent mRNA decay, or RIDD, the selective decay of ER-bound mRNAs, 66 thereby reducing the load of protein entering the ER (Hollien and Weissman, 2006). Conceptually, the effects of this reaction resemble that of PERK's translational attenuation that 67 68 reduces the ER's protein folding load. RIDD occurs in mammalian cells and plants, but not in S. 69 *cerevisiae*, where the *HAC1* mRNA splicing reaction is the sole output of UPR signaling (Niwa 70 et al., 2005). In striking contrast, in *S. pombe* RIDD is the sole output of Ire1. Transcriptional 71 regulation and the otherwise conserved mRNA splicing reaction are entirely absent. S. pombe 72 Ire1 has been shown to induce the decay of a few dozen mRNAs, cleaving them between the G 73 and C residues of a short consensus sequence UGC (UG/C) (Kimmig et al., 2012). This motif is 74 too low in information content to specify engagement of select mRNAs with Ire1, and thus other, 75 still unidentified features need to contribute to bring appropriate mRNAs into juxtaposition and 76 facilitate their engagement with Ire1.

77 During RIDD, mRNAs that are endonucleolytically severed by Ire1 rapidly decay 78 through the combined actions of cellular exoribonucleases (XRNs) in the 5' $\rightarrow$ 3' direction and 79 the exosome with the associated Ski complex in the  $3' \rightarrow 5'$  direction (Hollien and Weissman, 80 2006; Kimmig et al., 2012). Interestingly, virtually all Ire1 cleavage sites in S. pombe mRNAs 81 are located within the coding sequences (CDSs). This observation is surprising, as mRNAs 82 nicked within their CDSs are bound to induce translational stalls as ribosomes encounter the 3' 83 ends of the truncated mRNAs. This notion predicts that ribosomes stalled at the end of truncated 84 mRNAs must be rescued by an active clearance mechanism, such as the ribosome rescue/mRNA 85 decay pathway known as "no-go decay" (NGD) (Doma and Parker, 2006; Shoemaker and Green, 86 2012; Tsuboi et al., 2012).

87	Recent biochemical and genome-wide ribosome foot-printing studies of NGD in S.
88	cerevisiae showed that the Dom34/Hbs1 complex in cooperation with Rli1 promotes dissociation
89	of stalled ribosomes on truncated mRNAs (a form of ribosome recycling termed "rescue")
90	(Guydosh and Green, 2014; Pisareva et al., 2011; Shoemaker et al., 2010). Additionally, the
91	NGD pathway triggers endonucleolytic cleavage of the mRNA upstream of the stalled ribosomes
92	carried out by a still unidentified endonuclease (which we here refer to as "NGDase"), liberating
93	ribosome-free mRNA fragments accessible to exonucleases (Doma and Parker, 2006; Tsuboi et
94	al., 2012). NGD is critical for rescuing stalled ribosomes and therefore maintaining ribosome
95	homeostasis and is connected to the degradation of incomplete protein products through
96	ubiquitylation and proteasome digestion (Bengtson and Joazeiro, 2010; Brandman et al., 2012;
97	Shen et al., 2015). In S. cerevisiae, NGD serves as an important quality control mechanism,
98	responding to premature polyadenylation events in ORFs, as translation of the poly(A) tail stalls
99	ribosomes and triggers subsequent decay (Guydosh and Green, 2017).
100	Here, we discovered that in S. pombe the NGD machinery Dom34/Hbs1 and the
101	exosome-associated Ski-complex are critical players in the UPR, acting downstream of Ire1-
102	catalyzed mRNA cleavage. Further, using short-read ribosome profiling methodology, we
103	identified hundreds of novel mRNA targets of Ire1. The precise and widespread nature of these
104	target sites allowed us to show that stalled ribosomes serve as a ruler to template regularly
105	spaced upstream mRNA cleavage events. Our results reveal that the UPR in S. pombe executes
106	RIDD in an intimate interplay between Ire1, translation, and the NGD surveillance pathway.
107	
108	Results

109 A genetic screen reveals novel factors critical to RIDD in *S. pombe* 

110 To identify additional genes involved in the UPR in S. pombe, we performed a 111 quantitative, genome-wide screen for mutants resulting in altered fitness compared to wild type 112 (WT) cells when grown on limiting concentrations of tunicamycin (Tm), a widely used UPR 113 inducer that acts by inhibiting N-glycosylation in the ER lumen. To this end, we analyzed 2346 114 yeast strains deleted for non-essential genes by quantifying colony size differences in the 115 absence or presence of Tm (Fig. 1A). By using a z-score cut-off of  $\pm 2$ , we identified 180 gene 116 deletions that showed a significant change in cell fitness by Tm treatment, including 76 gene 117 deletions that suppressed Tm-induced growth defects (Fig. 1A, above blue dotted line) and 104 118 gene deletions that sensitized cells to Tm (Fig. 1A, below red dotted line; Supplementary File 1). 119 Gene ontology (GO) analysis of gene deletions suppressing Tm growth defects showed 120 enrichment for genes encoding proteins involved in vesicle transport and located on the cell 121 surface (Fig. 1B). Consistent with this result, prior studies have shown that changes in cargo 122 transport within the secretory pathway can cause this effect (Liu and Chang, 2008). GO analysis 123 of Tm-sensitizing deletions identified an enrichment in genes encoding glycosylation enzymes 124 and integral membrane proteins, as well as factors mediating mRNA catabolic processes (Fig. 125 1B). The latter class included the NGD components Ski2, Ski7, Dom34 and Hbs1. Since the 126 unfolded protein response in *S. pombe* relies exclusively on RIDD and could be affected by 127 defects in ribosome rescue, we henceforth focused our investigation on the NGD factors to 128 determine how their actions might synergize with the UPR. 129 To validate that the growth defects related to RIDD and to exclude the possibility of 130 potential false positive hits resulting from suppressor mutations in the deletion library, we 131 reconstructed deletions of each of the four genes and plated the mutant and control strains on Tm 132 plates. As shown in Fig. 1C, the growth defects in S. pombe cells harboring hbs1, dom34, ski2,

and *ski7* deletions impaired growth on Tm plates as compared to WT cells. Importantly, plating
assays with the corresponding deletions in the NGD pathway in *S. cerevisiae* failed to exhibit
growth defects under ER stress conditions (Fig. 1D). These results point to a central role for the
NGD pathway in the UPR in *S. pombe*.

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#### 138 **Ribosome profiling reveals role for NGD in the** *S. pombe* **UPR**

139 In light of a potential role for NGD in the S. pombe UPR, we asked whether stalled 140 ribosomes found on Ire1-cleaved mRNAs would accumulate in NGD defective strains. To this 141 end, we performed ribosome profiling in S. pombe, sequencing ribosome-protected mRNA 142 fragments ("footprints") ranging from 15-34 nucleotides in length. In our experiment, we 143 included WT,  $dom34\Delta$ ,  $ski2\Delta$ , and  $dom34\Delta/ski2\Delta$  strains in the presence and absence of DTT, 144 which induces the UPR by disrupting disulfide bond formation in the ER lumen. Since  $ski2\Delta S$ . 145 *pombe* cells show a severe growth defect upon Tm treatment (e.g., see Fig. 1C), we performed 146 ribosome foot-printing after a short exposure of DTT (60 min) to catch early events and 147 minimize pleiotropic effects. We confirmed that at this early time point the Ire1 endonuclease was active by monitoring the accumulation of the cleavage product of gas2 mRNA as a reporter 148 149 RIDD target (Fig. 1 – figure supplement 1). As is customary in ribosome profiling experiments, 150 we controlled for changes in mRNA abundance by simultaneously performing mRNA-Seq on 151 the same samples.

152 Consistent with previous observations of ribosome footprint size distribution in *S*. 153 *cerevisiae* (Ingolia et al., 2009), we found in the  $dom34\Delta/ski2\Delta$  strain that most of the ribosome 154 footprints (~75%) were in the canonical range of 28-31 nucleotides (Fig. 2A). We also observed 155 smaller populations of footprints either 20-22 nts in length (~5% of the population;

156 corresponding to ribosomes predicted to be in an alternative state of the translation cycle (Lareau 157 et al., 2014)) or 15-18 nts in length (~10% of the population; predicted to be stalled on truncated 158 mRNA ends (Guydosh and Green, 2014)). As we further elaborate below, the population of 159 footprints also reports on the formation of 'disomes' (stacked ribosomes in direct contact with 160 each other) because RNase 1, which is used to generate protected ribosome footprints, can only 161 partially digest the mRNA between two closely-stacked ribosomes, thus yielding a larger 162 footprint size. For reference, we also computed the size distribution for a WT strain (Fig. 2 -163 figure supplement 1).

164 We next compared the change in mRNA levels  $\pm$  UPR induction in WT cells to the 165 change in short footprint (15-18 nt) density  $\pm$  UPR induction in  $dom34\Delta/ski2\Delta$  cells (Fig. 2B). 166 The rationale of this experiment was based on the prediction that in WT cells, UPR induction 167 leads to degradation of the Ire1-generated fragments, whereas in  $dom34\Delta/ski2\Delta$  cells the 5' 168 cleavage products are stabilized (due to the *ski2* knockout) and ribosomes are stabilized at their 169 3' ends to yield short footprints (due to the *dom34* knockout). Indeed, we found that a large 170 fraction of the mRNAs that were degraded upon UPR induction in WT cells (Fig. 2B, points left 171 of the center cloud) were enriched in short footprints in  $dom 34\Delta/ski2\Delta$  cells (Fig. 2B, upper left 172 quadrant). Importantly, this group of mRNAs includes the majority of RIDD targets previously 173 identified by mRNA-Seq (Fig. 2B, red dots) (Kimmig et al., 2012), thus validating the 174 assumptions of our experimental strategy.

In a similar analysis, we further asked whether mRNAs that are enriched in short
footprints after UPR induction correspond to those that are stabilized when Ire1 is deleted (Fig.
2C, upper left quadrant). Again, many of these mRNAs corresponded to the previously identified
RIDD transcripts (Fig. 2B right, red dots) (Kimmig et al., 2012). Taken together, these data

establish that the accumulation of short ribosome footprints upon UPR induction is a signature of
RIDD, likely because these footprints are derived from ribosomes stalled at cleavage sites
generated by Ire1.

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## 183 Peaks on individual transcripts reveal Ire1 cleavage sites

184 To identify the specific sites of ribosome stalling, we next examined the read distribution 185 across genes that were enriched in short footprints upon UPR induction (Fig. 3). In these 186 examples, and in all profiling data shown in this work, we assigned the counts of mapped 187 ribosome footprints according to their 3' ends. This method was used for long (25-34 nt) reads 188 because our previous studies suggest that RNase 1 trims the 3' end of the footprint more 189 precisely than the 5' end (Guydosh and Green, 2017). Moreover, aligning the short (15-18 nt) 190 ribosome reads by 3' their ends allowed us to pinpoint the site of mRNA cleavage that caused 191 ribosome stalling (Fig. 3A). As we show in the example of but2 mRNA in Fig. 3B (orange 192 arrow), after UPR induction in  $dom34\Delta/ski2\Delta$  cells we typically observed a striking enrichment 193 in short (15-18 nt) reads at a UG/C site (where cleavage occurs between the G and C), consistent 194 with Ire1's known RNA substrate specificity (Gonzalez et al., 1999). In some cases, we also saw 195 enrichment of long (25-34 nt) footprints with a 3' end positioned ~15 nucleotides (roughly half 196 of a long footprint) upstream of the short reads (Fig. 3B, blue arrow). The relative positioning of 197 these reads suggests the generation of a "disome" (two stacked ribosomes) at this UG/C site. The 198 significance of this observation is discussed further below.

We also noted a strong UPR-dependent enrichment of short reads that extended typically hundreds of nucleotides on the 5' side of the UG/C site. These upstream footprints are consistent with extensive mRNA cleavage events upstream of the initiating cleavage. These observations

202 are reminiscent of NGD patterns (Fig. 3A) that have previously been documented in S. cerevisiae 203 (Guydosh and Green, 2014, 2017). Our data here demonstrate that this process is directional and 204 extends over long distances from precise stall sites. In many examples (Fig. 3C), the pattern of 205 cleavage showed periodicity, suggesting that iteratively stalled ribosomes in this background 206 play a critical role in determining the no-go cleavage pattern (further analyzed below). 207 Intriguingly, we found that one of the targeted transcripts is that encoding Ire1 itself (Fig. 3 – 208 figure supplement 1). We also examined the effects in WT strains or where only  $ski^2$  or  $dom^{34}$ 209 was individually knocked out (Fig. 3 – figure supplement 2, Fig. 3 – figure supplement 3). We 210 saw little or no evidence for stalling or NGD patterns in WT and  $ski2\Delta$  strains. However, in the 211  $dom34\Delta$  strain, we observed evidence of the NGD pattern in both examples and for stalling in 212 one case (Fig. 3 – figure supplement 2).

213

#### 214 Short read accumulation reveals an expanded list of Ire1 mRNA targets

215 To more precisely pinpoint the sites of ribosome stalling and to identify the full scope of 216 RIDD targets, we next examined the effect of UPR induction on short (15-18 nt) footprint 217 density at every individual nucleotide position. Given the large size of the S. pombe genome 218 (>10 million single base positions), we initially focused on nucleotide positions in genes with the 219 most reads (>1.5 rpm in both, i.e.,  $\pm$  UPR induction samples) for data visualization (Fig. 4A). As 220 expected, read density between UPR-induced and uninduced samples was correlated because 221 many factors (independent of UPR induction) determine the pattern of read density within a 222 gene. However, we noted a strong (>10x) enrichment in read density for a distinct cluster of 223 UG/C sites upon UPR induction (Fig. 4A, left panel; region above green diagonal line). 224 Compared with cleavages at non-UG/C sites that also met this criterion (Fig. 4A, center panel;

region above green diagonal line), UG/C sites were notably overrepresented (7.9% of UG/C sites
vs. 0.0021% of non-UG/C sites; note that histogram is on a log scale), suggesting that they
represent primary cleavage sites of Ire1. Moreover, the Ire1 cleavage sites previously identified
by 2', 3'-cyclic phosphate sequencing (Kimmig et al., 2012) that met the 1.5 rpm threshold were
enriched in the UPR-induced sample, most more than 10-fold (Fig. 4A, right panel).

230 Further analysis of the full set of data mapping to the transcriptome (without the minimal 231 read threshold and including sites without any reads in the case where the UPR was not induced) 232 revealed 5294 sites with >10-fold enrichment under UPR induction and >2-fold enrichment 233 above the background level in their respective gene (Supplementary File 2). We found that ~22% 234 of these corresponded to UG/C motifs (Fig. 4B, blue sector). Mapping these reads to individual 235 transcripts revealed 1287 affected mRNAs, encompassing about a quarter of the S. pombe 236 transcriptome. In particular, 471 mRNAs included reads with at least one UG/C motif, and, of 237 these, 91% are predicted to be associated with the ER because of the presence of a signal 238 sequence or transmembrane domain in the encoded protein (Fig. 4B, purple bar). This high 239 prevalence of ER association is consistent with these genes representing a list of RIDD targets, 240 expanded well beyond that reported previously. Consistent with this interpretation, we found 241 these 471 mRNAs were clustered similarly to the previously-reported targets when we examined 242 changes in overall levels of 15-18 nt footprints or mRNA-Seq (Figure 4 – figure supplement 1A). 243 Similar clustering was also evident in mRNA-Seq data where *ire1* was knocked out (Figure 4 – 244 figures supplement 1B).

In addition, the most downstream cleavage site in this subset of 471 mRNAs occurred at a UG/C about 85% of the time (398 genes; Fig. 4B, orange bar), consistent with the cleavage patterns described above (Fig. 3A, B), where a UG/C cleavage event appears to trigger upstream

248 no-go decay at non-UG/C sites. Of the remaining 816 transcripts where no cleavage sites

249 mapped to UG/C sequences, about 24% of the mRNAs included at least one cleavage-site motif

250 differing from UG/C by only a single base, suggesting that Ire1 may tolerate imperfect

251 recognition signals.

252

# Frame analysis reveals specificity of cleavage and decoding activity of ribosome on truncated mRNAs

255 We next binned UPR-dependent strong stall sites (>100x the background level in a gene) 256 at UG/C motifs into three groups according to whether the terminal G was found in frame 0, 257 frame 1, or frame 2 (Fig. 5A). Because the ribosome maintains the reading frame during 258 translation and because the 5' end of the footprint is trimmed flush against the ribosome by 259 RNase 1 during preparation of sequencing libraries (Guydosh and Green, 2017), we expected 260 that the length of a fragment should depend on the reading frame occupied by the terminal G. We 261 found this to be true: the 16 nucleotide-long short reads were predominantly in frame 0, the 17 262 nucleotide-long ones in frame 1, and the 18 nucleotide-long ones in frame 2. These observations 263 suggest that the ribosome halts when the 3' end of the mRNA is positioned randomly in the A 264 site, consistent with the idea that successful decoding requires that the A site is filled with an 265 intact codon. We also noticed a minority population of 15-nt reads for UG/C motifs in frame 2 266 (Fig. 5A, left tail of orange curve). The existence of this population suggests that the ribosome 267 can decode the mRNA when the A site is filled with the terminal 3' nucleotides of a truncated 268 mRNA, which at a low efficiency of  $\sim 1/3$  of the time can translocate yielding the 15 nucleotide-269 long footprint.

Examination of ribosome footprint positions at strong stalls showed that the distribution of Ire1 UG/C cleavage sites across the 3 reading frames followed the underlying bias in the transcriptome (Fig. 5B, compare top and bottom). Analysis of the UG/C target through the MEME algorithm (Moreno et al., 1991) did not reveal any further strong features for the documented cleavage events. This analysis suggests that Ire1 is not influenced by recognizable sequence context immediately outside of the UG/C motif (Fig. 5C).

276 By contrast to the UG/C sites, we found that the non-UG/C sites harbored some 277 preference for a particular reading frame (note that frame 0 non-UG/C cleavages are diminished 278 relative to the background frequency, Fig. 5B). Because many of these non-UG/C cleavage 279 events likely result from NGD, we might expect that the (in-frame) stalled ribosomes that trigger 280 this process guide the NGDase, which could account for this bias. This finding in fission yeast is 281 consistent with our prior work in budding yeast that also showed a frame preference for no-go 282 cleavage events that take place upstream of ribosomes stalled in poly(A) tails (Guydosh and 283 Green, 2017).

284

# Trends in average pause heights reveals importance of both no-go (Dom34) and mRNA decay (Ski2) pathways

To analyze the pattern of NGD cleavage events upstream of primary Ire1 cleavages (Fig. 3) in further depth, we aligned 107 sequences that triggered the strongest ribosome stalling events (>200x above gene background level and >10 rpm upon UPR-induction) at UG/C motifs in frame 0 and overlayed the short (15-18 nt) ribosome profiling data from WT and different mutant strains (Fig. 6A, note that \* symbols denote some peaks are scaled). In these averages, the strong peak centered at "0" (the UG/C site used as anchor in the alignment) upon UPR

293 induction ("+DTT") corresponds to a ribosome stalled at the site of Ire1 cleavage. This peak was 294 strongest in the UPR-induced  $dom34\Delta/ski2\Delta$  strain (Fig. 6A, red traces) but is also evident, albeit 295 to a lesser extent, in the UPR-induced  $dom34\Delta$  and  $ski2\Delta$  strains (Fig. 6A, green and blue traces, 296 respectively). Its dependence on UPR induction established that the Ire1 cleavage event is 297 activated by ER stress and its dependence on the elimination of Dom34 and/or Ski2 establishes 298 its dependence on the ribosome rescue and the  $3' \rightarrow 5'$  decay pathways. The variance of the peak 299 heights is consistent with the growth data in Fig. 1 showing that deletion of either dom34 or ski2 300 increased the mutant cells' sensitivity to ER stress. The observation of a small peak at position 0 301 in the  $dom34\Delta/ski2\Delta$  strain in the absence ER stress (Fig. 6A, red traces) suggests that Ire1 302 becomes partially activated under these conditions.

These findings are further supported by analysis of the size of ribosome footprints that map to strong cleavage sites in frame 0 (pause score >100x background level upon UPR induction). The relative proportion of short reads (e.g. 16 nucleotide reads) increased upon *dom34* deletion or UPR induction (Fig. 6B) with respect to long (~28 nucleotide) reads. This observation indicates that most ribosomes found at these sequences are stalled.

308

## 309 **Periodicity of ribosome footprints suggests that a NGDase tightly associates with ribosomes**

We next focused on the short (15-18 nt) footprint dataset in the  $dom34\Delta/ski2\Delta$  strain along with the corresponding long (25-34 nt) footprints (Fig. 6C). When we turned our analysis to the regions upstream of the UG/C target sites, we noticed a striking periodicity in the 15-18 nt dataset: peaks repeated roughly every 14 nt, decreasing in abundance as one moves upstream of the initial cleavage site (Fig. 6C, orange lines). To confirm the accuracy of this distance measurement, we computed the power spectrum of the autocorrelation of the repeat region (Fig.

6D), which revealed a strong correlation every 14 nucleotides and, to a lesser extent, every 28 nucleotides (discussed further below). The regularity in spacing of these peaks can be accounted for by a model wherein a ribosome that is stalled at a UG/C motif initiates NGD through endonucleolytic cleavage immediately upstream of it. This cleavage event, in turn, stalls the next ribosome behind it, generating another peak just 14-nucleotides upstream. In this way, the ribosome serves as a ruler that templates the repeat pattern.

- 322
- 323 Disomes form at sites of Ire1 cleavage

324 As suggested by the power spectrum peak at 28 nucleotides, the 14-nucleotide repeat 325 pattern appeared to be superimposed by a 28-nucleotide repeat pattern, resulting in peaks with a 326 higher amplitude at alternating 14-nucleotide positions (Fig. 6C, alternating height of orange 327 lines). This trend is also visible in the data upstream of all UG/C cleavage sites (908 total sites, 328 including both strong and weak stalls) (Fig. 6 - figure supplement 1). A simple explanation for 329 this observation is that two ribosomes occasionally stack — forming "disomes" — when a tail-330 gating translating ribosome rear-ends a ribosome stalled at a mRNA truncation site before the 331 NGDase cleaves the mRNA. This notion is supported by the analyses of the averaged dataset of 332 the long, 25-34 nucleotide footprint data that reveal a dominating peak positioned precisely 333 where we expect two ribosomes to collide (Fig. 6C, purple line). In this scenario, the upstream ribosome in the disome protects the mRNA from cleavage at the site of the 2<sup>nd</sup> NGD site (Fig. 6, 334 335 orange dot), thereby accounting for the reduced amplitude in arrested short-footprint ribosomes 336 at that position. The evidence for a continued (though diminishing) pattern of alternating strong 337 and weak peaks further upstream suggests that higher-order ribosome structures (i.e. mass-338 collisions leading to trisome, quadrasome, etc.) can form at a UG/C site. Alternatively, disome

formation may be followed by cleavage, creating a new end on which the process repeats,

340 leading to successive disome formation at upstream sites of no-go cleavage.

341

342 Discussion

## 343 Molecular model for Ire1-triggered NGD during ER stress in S. pombe

344 We provide strong support for the coupling of NGD with Ire1-triggered endonucleolytic 345 mRNA cleavage during the UPR in S. pombe. Our observations suggest a model (Fig. 7) wherein 346 initial Ire1-triggered cleavage at UG/C sites in ER targeted mRNAs results in ribosome arrest at 347 the truncated end of the mRNA, generating short footprints in ribosome profiling experiments. 348 Ribosomes stalled at the end of the truncated mRNAs can trigger stalling of trailing ribosomes 349 by a "fender-bender" type collision, causing them to stack as disomes and perhaps larger stacks. 350 Single ribosomes and ribosome stacks trigger the endonucleolytic cleavage events of the NGD 351 pathway. Our model posits that ribosome stalling and mRNA cleavage is then reiterated until the 352 upstream mRNA is degraded into short ribosome-associated fragments and longer ribosome-free 353 5' mRNA fragments subject to unobstructed  $3' \rightarrow 5'$  mRNA decay by the cytosolic exosome. 354 Whether an Ire1-targeted transcript is fully sliced into small fragments by NGD or 355 whether the exosome manages to degrade unobstructed regions of some transcripts before 356 ribosome stalling and NGD cleavage takes place likely depends on the relative kinetics of the 357 NGDase, exosome, rescue activity of Dom34/Hbs1, speed of elongation by the ribosome, and 358 ribosome loading (translational efficiency) of the transcript. While the clearest evidence for 359 enrichment of periodic short footprint ribosomes emerges in the  $dom34\Delta/ski2\Delta$  mutant cells, 360 weaker trends are seen in either single mutant alone (Fig. 6A), suggesting that both the NGD/ribosome rescue pathway (Dom34/Hbs1/NGDase) and the  $3' \rightarrow 5'$  mRNA decay pathway 361

362 (exosome/SkiX) are critical for the elimination of the Ire1 cleavage products in WT cells. It is 363 striking that the effects of either single knockout on ribosome stalling and upstream NGD were 364 small compared to the effects of the double knockout. These observations suggest that the two 365 pathways can partially substitute for each other.

This finding is bolstered by the phenotypic assay showing loss of either pathway results in less tolerance for ER stress (Fig. 1). The assay, in particular, showed that both Ski2, a component of the Ski complex, and Ski7, are involved. While Ski7 interacts with the Ski complex and the RNA exosome, it has been suggested to have additional effects on translation and to bolster tolerance to stress (Jamar et al., 2017; Kowalinski et al., 2016). Ski7 would

371 therefore be an interesting candidate for further mechanistic studies.

372

#### 373 Implications to the general mechanism of NGD

374 Unlike previous studies of NGD at less-well defined ribosome stall sites (i.e. poly(A)) 375 stretches or long stem-loops), the endonucleolytic cleavage sites detected here were precise and 376 thus offer the opportunity to more clearly examine the mechanism of long-distance NGD-377 cleavage events. Based on the dimension of the ribosome and positional registration of the 378 mRNA footprint gleaned from previous ribosome profiling studies, we can infer mechanistic 379 details regarding the still unknown and highly sought-after NGDase. For example, in the analysis 380 of the repetitive pattern of protected fragments upstream of the primary UG/C cleavage site, we 381 found that peaks were separated by only 14 nucleotides, which is different from a distance 382 corresponding to the 15-18 nucleotide range observed in the footprint distributions created after 383 RNase 1 treatment (Fig. 5A). This observation implies that the NGDase does not cleave flush 384 against the upstream face of the ribosome, as occurs during experimental preparation of the 1518 nt footprints. Instead, these data suggest that NGDase cleavage must occur *inside* the ribosome, at a position that is found 1 or 2 nucleotides within the channel from which the mRNA emerges the ribosome. It is also possible that the structure of ribosomes stalled in this way may be more flexible and therefore allow NGDase access to this site without having to reach inside the ribosome. This conclusion is consistent with our findings that NGD cleavage events show frame bias (Fig. 5B and previously in *S. cerevisiae* (Guydosh and Green, 2017)), reinforcing the notion that the no-go cleavage events take place on the ribosome.

392

#### 393 The Ire1 RIDD pathway collaborates with NGD to maintain ER homeostasis

394 By identifying ribosomes stalled on mRNAs that are cleaved by Ire1 upon ER stress, our 395 data reveal that the scope of RIDD upon UPR induction is far broader than appreciated to date. 396 By comparison to the cohort of 39 RIDD target mRNAs identified previously by mRNA-Seq 397 (Kimmig et al., 2012), we here identified 471 mRNAs whose degradation is induced by Ire1-398 mediated cleavage at UG/C sites. This group of 471 mRNAs includes 34 of the 39 previously 399 identified mRNAs (3 could not be evaluated due to little or no read depth; the other 2 were 400 successfully identified by cleavage at non-UG/C sites), thus expanding the set of Ire1 substrates 401 by over ten-fold. Almost all (91%) of the identified mRNAs encode proteins that bear an ER-402 directed signal sequence or transmembrane domain and thus are predicted to be translated on the 403 ER membrane in which Ire1 resides. The target list contains many mRNAs encoding proteins 404 with functions in the secretory pathway and lipid metabolism, indicating that the regulation of 405 these proteins' biosynthesis may serve to fine-tune ER homeostasis, perhaps by adjusting the 406 lipid composition of the membrane (Volmer and Ron, 2015). We also found that Ire1 appeared to 407 cleave its own mRNA, suggesting a potential autoregulatory mechanism to limit production of

this endonuclease once a threshold level is reached. We note that our method of detecting mRNA
cleavage via the presence of a stalled ribosome limits our ability to detect cleavages outside
canonical coding regions. It has previously been shown that Ire1 can target the 3'UTR (Kimmig
et al., 2012), and it is therefore reasonable to assume that additional cleavage sites may be found
in the 5'UTR or 3'UTR regions.

413 We also identified 816 mRNAs that are cleaved upon UPR induction, even though the 414 cleavage sites did not correspond to UG/C motifs. While 193 of these mRNAs include sites 415 matching UG/C with only a single base change and are therefore putative targets of Ire1, the 416 remaining 623 mRNAs exhibit ribosome stalling solely at other classes of endonucleolytic 417 cleavage sites that are induced upon UPR induction. One possible explanation is that Ire1 or 418 another, yet to be identified endonuclease that is activated by ER stress, can cleave at these other 419 sites. While UG/C sites were strongly enriched in the set of Ire1 target mRNAs, the vast majority 420 of UG/C motifs that we evaluated did not meet our threshold criteria for inclusion: reads 421 representing only 7.9% of the mRNAs containing UG/C motifs shown in Figure 4A (left panel) 422 were enriched >10x (i.e., fell above the green line) when the UPR was induced. It is possible that 423 this number could be increased with improved methodology for cleavage site detection; yet it 424 seems more plausible that other features of these sites are critical in determining the efficiency of 425 Ire1 target selection. From the data shown in Figure 5C, it seems unlikely that such features lie in 426 the immediate sequence context of the site. Other possibilities that could offer an explanation 427 include higher-order mRNA structural features, mRNA localization to the ER, a specific 428 complement of RNA binding proteins, or features in the nascent polypeptide chains. Since it is 429 clear that ER-associated factors are enriched in the set of targeted mRNAs, we asked whether the 430 ER-associated mRNAs that manage to escape cleavage showed any particular functional

431 enrichment. We were unable to reveal any trend, further implying that additional properties of432 these transcripts are involved in specifying targeting.

433 The biological importance of this broadened spectrum of Ire1 RIDD targets is 434 underscored by the genetic screen that identified components in ribosome rescue and nonstop 435 decay in an unbiased fashion. In particular, mutants in which the Ski-complex was defective 436 showed strong sensitivity to ER stress. One potential explanation for why the failure to clear 437 truncated mRNAs at the ER membrane may be so severe is that the stalled ribosomes may clog 438 translocons and limit protein flux into the ER (Arakawa et al., 2016), including that of newly 439 synthesized chaperones and other factors required to restore ER homeostasis. If this were the 440 case, we expect these findings will apply to higher eukaryotes where the RIDD pathway is also 441 active and, as such, have broad implications for human disease. The UPR triggers the integrated 442 stress response (ISR), and many recent reports have suggested that chronic ISR activation by 443 unfolded proteins or other stresses can lead to a number of diseases, including atherosclerosis 444 (Tufanli et al., 2017) and many forms of neurological dysfunction (Scheper and Hoozemans, 445 2015). The ribosome recycling and mRNA decay pathways that we have shown here to be 446 intricately intertwined are likely to be important for maintaining fitness of the proteome and 447 human health.

- 448
- 449

## 450 GEO Accession codes

451 All high throughput data have been deposited with NCBI GEO with accession number452 GSE98934.

453

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465

#### 466 Figure legends

467 Figure 1. (A) A chemical genetic screen of a non-essential deletion library. Each strain is plotted 468 against the log ratio of colony size with and without addition of the ER stress inducer 469 tunicamycin (Tm). Significant sensitive or resistant genes were identified by a standard-score (z-470 score) >2 (blue line) or  $\leq -2$  (red line), corresponding to colony sizes measuring more than two 471 standard deviations from the mean. Strains of interest missing no-go or nonstop decay factors 472 (red) and the unfolded protein response factor *ire1* (green) are indicated. All tested strains are 473 listed in Supplementary File 1. (B) Gene-ontology (GO) analysis of enriched sensitive or 474 resistant genes. (C, D) Viability assay by serial dilution of either S. pombe or S. cerevisiae wild 475 type, *ire1* $\Delta$ , *dom34* $\Delta$ , *hbs1* $\Delta$ , *ski2* $\Delta$  and *ski7* $\Delta$  cells spotted on solid media with or without Tm 476 (S. pombe: 0.03 µg/ml; S. cerevisiae: 0.3 µg/ml). Plates were photographed after 3 days of 477 growth at 30 °C. Note that although  $ski2\Delta$  cells did not form colonies upon plating on Tm-

478 containing media, they survived long enough after DTT addition in liquid media to allow for the479 footprinting analyses performed in this work.

480

**Figure 1 – figure supplement 1.** Northern blot analysis of total RNA extracted from wild type (WT) and  $ski2\Delta$  mutant cells. A time course after UPR induction by addition of the ER stress inducer DTT (2 mM) is shown. Cells contained an integrated reporter construct that contained the coding sequence of the RIDD substrate *gas2* under the control of the *nda2* (encoding tubulin) promoter and the 3' untranslated region (UTR) of *nda2*. A probe complementary to the 5' UTR of the *gas2* reporter was used to detect cleavage products.

487

488 Figure 2. (A) Size distribution of ribosome footprints mapped without mismatches to the 489 transcriptome for  $dom34\Delta/ski2\Delta$  in the presence of DTT. Short footprints on truncated mRNAs 490 correspond to 15-18 nt reads (Guydosh and Green, 2014) and ribosomes with a fully-occupied 491 mRNA channel correspond to 28-31 nt (Ingolia et al., 2009). The small peak at 21 nt corresponds 492 to ribosomes in an alternate conformation (Lareau et al., 2014). (B) Comparison of gene 493 enrichment ratio (+DTT/-DTT) for 15-18 nt footprint data in the dom34\Delta/ski2\Delta background 494 (y-axis) and mRNA-Seq data (x-axis) under the same growth conditions in the WT background. 495 (C) Comparison of the same short footprint data ratio (y-axis) against mRNA-Seq data (x-axis) 496 for WT/*ire1* $\Delta$  under UPR induction. Annotation of 39 previously-identified RIDD mRNA targets 497 (red dots in B and C) and WT/*ire1* $\Delta$  mRNA-Seq ratios (C) are from (Kimmig et al., 2012). Anti-498 correlated trends in B and C show that mRNAs degraded by RIDD (low mRNA-Seq ratios when 499 DTT added or *ire1* deleted) are enriched in stalled ribosomes (footprints), consistent with the 500 prediction that cleavage sites created by Ire1 stall ribosomes on short (15-18 nt) footprints.

501

502 Figure 2 – figure supplement 1. Size distribution of ribosome footprints as in Fig. 2A for a
503 baseline control (WT cells without DTT).

504

505 Figure 3. (A) Model for how Ire1 cleavage leads to ribosome stalling when the 3' UG is 506 positioned in the A site. This stalled ribosome triggers upstream cleavage of the mRNA (no-go 507 decay, green arrow). These upstream cleavages are expected to, in turn, stall additional 508 ribosomes. (B) Example of (3' end assignment) ribosome profiling data from the  $dom34\Delta/ski2\Delta$ 509 strain on the gene but2. The data show an initial Ire1-mediated cleavage at a UG/C site (orange 510 arrow). Upstream cleavage events (no-go decay) are evident as peaks in the short-footprint data 511 (ribosomes stalled on truncated mRNA ends) in the presence of DTT (green bracket). The peak 512 in the long-read data (blue arrow) suggests a "disome" structure forms when an upstream 513 ribosome runs into the ribosome stalled on the 3' terminal UG. (C) Same as (B) but for the gene 514 hrf1.

515

516 Figure 3 – figure supplement 1. Footprint data (3' end assignment) from the  $dom34\Delta/ski2\Delta$ 517 strain on the gene *ire1*. Detected cleavage sites (arrows) are indicated at UG/C motifs (orange) 518 and non-UG/C motifs (green). The presence of cleavage sites is consistent with Ire1 degrading 519 its own transcript.

520

Figure 3 – figure supplement 2. (A-C) Same as Fig. 3B but showing additional datasets for *but2*. For reference, annotation of the original stall site and upstream NGD cleavage in Fig. 3B
have been retained.

524

Figure 3 – figure supplement 3. (A-C) Same as Fig. 3C but showing additional datasets for *hrf1*. For reference, annotation of the original stall site and upstream NGD cleavage in Fig. 3B
have been retained.

528

529 Figure 4. (A) Histograms of short-read footprint density at individual nucleotide positions across 530 the transcriptome in conditions of +DTT and -DTT in the  $dom34\Delta/ski2\Delta$  strain. Counts above 531 dotted line represent loci where reads enrich > 10-fold when DTT was added, the threshold used, 532 in part, to identify Ire1 cleavage sites in all downstream analysis. Data for UG/C and non-UG/C sites are separated (left and center panels, respectively), revealing that stalled ribosomes tend to 533 534 enrich at UG/C sites more than non-UG/C sites (more counts above green line). In addition, 535 UG/C sites from 38 cleavage sites (corresponding to 23 mRNAs) previously identified (Kimmig 536 et al., 2012) to be Ire1 targets by 2', 3'-cyclic phosphate sequencing are shown (provided they 537 meet a 1.5 rpm minimal threshold) for reference (right panel) and serve as a positive control for 538 our method. (B) Breakdown of all identified cleavage sites by nucleotide motif (pie chart) and 539 analysis of the 471 transcripts to which the UG/C sites map (right). Most (91%) mRNAs with a 540 UG/C cleavage site are associated with the endoplasmic reticulum, as expected for Ire1 targets 541 (purple bar). In addition, 85% of the most downstream cleavage sites on these transcripts 542 corresponded to a UG/C site, consistent with the proposed no-go decay mechanism (orange bar). 543

Figure 4 – figure supplement 1. (A) Same as Fig. 2B but showing the new 471 mRNA targets
of Ire1 in green. (B) Same as Fig. 2C but showing the new 471 mRNA targets of Ire1 in green.
For both B and C, clustering of new targets was similar to previously identified (red) targets in

547 Figs. 2B-C.

548

549 Figure 5. (A) Analysis of footprint sizes from reads that map to the library of 24-nt sequences 550 immediately upstream of strong UG/C cleavage sites (pause score >100 in the presence of DTT) 551 found in frames 0, 1, or 2. The peak position changes according to frame because the ribosome, 552 which protects the footprints, moves in 3-nt increments. When UG/C is in frame 2 (all 3 553 nucleotides in the A site and footprint size measures 18 nt), about 1/3 of ribosomes manage to 554 move forward, positioning UG/C in the P site and shortening the footprint to 15 nt. From 555 previous work, we know that the A site of the ribosome lies 16-18 nt from the 5' end of the 556 footprint (Ingolia et al., 2009). (B) Reading frame of the terminal base for strong UG/C cleavage 557 sites (blue, due to Ire1) and non-UG/C sites (red, due to mostly to no-go decay) identified with 558 pause score > 100 with DTT present (top). Background reading frame frequency of these motifs 559 in the transcriptome is shown for reference (bottom). (C) Motif analysis using MEME (Bailey et 560 al., 2009), of all identified UG/C cleavage sites in frame 0 shows little outside sequence context. 561

562 Figure 6. (A) Average short ribosome footprint density (3' end assignment) at identified UG/C 563 sites in frame 0 for multiple strains. Only cleavage sites with pause scores >200 in presence of 564 DTT and at least 10 rpm of read density in presence of DTT are included to improve resolution. 565 Analysis of data in frame 1 or frame 2 separately appeared similar but was not included here 566 because the variation in footprint size by frame (Fig. 5A) tends to blur the peaks. Note that \* 567 indicates peak height reduced 10x for space constraints. Knockout of *dom34* and *ski2*, as well as 568 addition of DTT, all enhance observation of ribosome stalling at UG/C sites (position 0). (B) 569 Size analysis of short footprints that map to the 34-nt region immediately upstream of strong

570 UG/C sites (pause score >100) in frame 0 shows that the 15-18 nt reads are preferred over 571 background reads more strongly in the presence of DTT and absence of *dom34*. (C) Same as (A) 572 for  $dom34\Delta/ski2\Delta$  in the presence of DTT for 15-18 nt and 25-34 nt footprints. Stalled ribosome 573 peak at initial Ire1 cleavage site is indicated (green line). Upstream peaks at 14-nt intervals show 574 evidence of ribosome stalling at no-go decay cleavage events (orange lines). Density at 575 alternating 14-nt intervals is reduced (short orange lines) due to formation of a disome that 576 protects the mRNA. In particular, the first short orange line upstream of the UG/C site (marked 577 with orange dot) is protected by the disome shown in the cartoon. Direct evidence of disome 578 formation is visible as a peak in the 25-34 nt footprint data located ~16 nt upstream of the UG/C 579 cleavage site (purple line). Note that \* indicates peak height was reduced 10x for space 580 constraints. (D) Power spectrum of the autocorrelation of data in the region 200 nt upstream of 581 the short footprint data in (C). Peaks at 14 nt and 28 nt are consistent with the alternating 14-nt 582 intensity shown in (C). The stronger amplitude of the 14-nt peak reveals that majority of 583 cleavage pattern is due to monosome formation. The smaller peak at 28 nt shows the contribution 584 of disomes.

585

Figure 6 – figure supplement 1. Same as Fig. 6C but for all identified cleavage sites. The
reduction in the amplitude of 14-nt peak alternation is consistent with less disome formation due
to the inclusion of weaker stall sites.

589

Figure 7. Model of Ire1 mRNA cleavage of leading to no-go decay. (A) Initial Ire1 cleavage of a
target mRNA at UG/C sites within the open reading produces a truncated mRNA lacking its
poly(A) tail. (B) Ribosomes continue to elongate until they reach the end of mRNA fragment

593	and stall with an empty A-site. The stalled ribosome recruits NGDase, which cleaves the mRNA
594	immediately upstream of the ribosome as part of the no-go decay process. Dom34/Hbs1 then
595	recycle the ribosome. In their absence, ribosomes protect a short nucleotide ("S-nt"), which we
596	identify experimentally. If NGDase cleavage is slow enough to allow another ribosome to stack
597	onto the leading one, a disome is formed. The trailing ribosome protects a long nucleotide ("L-
598	nt"). The tendency to form disomes (or perhaps even larger stacks) in vivo will depend on the
599	relative kinetics governing elongation, NGDase cleavage, and ribosome recycling. (C) The
600	process can repeat as more ribosomes arrive at newly generated 3' ends. (D) Finally, the
601	exosome degrades the mRNA fragments and any intact piece of 5' mRNA that do not have a
602	stalled ribosome protecting their 3' end. For clarity, the ER membrane and nascent peptides are
603	not shown in panels B-D.
604 605 606	Supplementary File 1. Growth of mutants in chemical genomic screen.
607 608	<b>Supplementary File 2.</b> List of identified Ire1 cleavage sites, including positional read count, pause score, and mRNA-Seq data for the gene.
610	Supplementary File 3. Yeast strains and plasmids used in this study.
611 612 613	Supplementary File 4. Source code for custom scripts used in this study.
614 615	Materials and Methods
616	Strain creation
617	Standard cloning and yeast techniques were used for construction, transformation and gene
618	deletions as described previously (Moreno et al., 1991). Strains used in this study are listed in
619	Supplementary File 3. All non-ribosome profiling experiments were carried out in yeast extract

620 complex media (YE5S) supplemented with 0.225 mg/ml of 1-histidine, 1-leucine, 1-lysine,

621 adenine and uracil at 30 °C, unless otherwise described.

622

623 Chemical genomic screen

624 The *Schizosaccharomyces pombe* Haploid Deletion Mutant Set version 2.0 (M-2030H; Bioneer

625 Corporation) was accessible through the Azzalin lab (ETHZ). The original library contained

626 3006 non-essential gene deletions, but only 2346 non-essential gene deletions were viable in this

627 study. The library was spotted in duplicates on a 384-array format with YE5S media

628 supplemented with or without 0.15 μg/ml tunicamycin. Plates were incubated at 30 °C and after

629 3 days pictures were taken by the Fusion solo S system. Colony sizes were quantified and

630 analyzed with Balony software (https://code.google.com/p/balony/). Resistant and sensitive gene

hits were identified by the described z-score threshold. Growth rates for each deletion strain are

632 listed in Supplementary File 1.

633

634 *Ribosome profiling* 

All cells were grown in YES 225 media (Sunrise bioscience). All media was sterile filtered
and cultures were grown at 30 °C. Cultures were harvested at an OD of ~0.6 after ~5 doubling
times. DTT was added to 1 mM at 1 h prior to harvest.

638 Ribosome profiling libraries were prepared as described (Guydosh and Green, 2014) by

639 using a protocol very similar that used by Ingolia and coworkers (Ingolia et al., 2012). All RNA

640 size separation gels were cut as a single slice from 15-34 nt for footprints and 40-60 nt for

641 mRNA-Seq. All footprint samples were lysed and separated over sucrose gradients in the

642 presence of 0.1 mg/ml CHX. Total mRNA for mRNA-Seq was isolated from cells using hot

643 SDS/acid phenol and chloroform, as previously described. Footprint samples here were subject

644 to rRNA subtraction by using a yeast Ribo-Zero kit (Epicentre). Subtraction of rRNA for all 645 footprint samples was performed prior to linker ligation with the exception of the  $dom34\Delta/ski2\Delta$ 646 strains where it was performed after linker ligation. This change was implemented because the 647 RiboZero kit introduces a variety of short sequences that map at random across the genome, 648 leading to occasional spikes in the data (Guydosh and Green, 2017). Samples for mRNA-Seq 649 were subject to subtraction after purification of total RNA (mRNA-Seq). The 50 °C incubation 650 step for standard footprint preparation was skipped in the Ribo-Zero-modified protocol, as 651 recommended by the manufacturer. Sequencing and demultiplexing were performed on an 652 Illumina HiSeq2500 at the Johns Hopkins Institute of Genetic Medicine.

653

#### 654 Deep sequencing analysis

655 Analysis of footprints was essentially as described (Guydosh and Green, 2014) with 656 modifications as noted below. The PomBase ASM294 v2.22 genome assembly was the reference 657 genome used for analysis (Wood et al., 2012). De-multiplexed sequences were processed to 658 remove reads with any position with Phred score <20 or assigned N as a quality filter step. Following a search for the linker and sorting of reads into short (15-18 nt) or long (25-34 nt) 659 660 populations, contaminating ladder oligonucleotides were removed and alignment to a database of 661 rRNA and tRNA spliced genes was performed. Following this step, a second round of 662 subtraction for short, 15-18 nt, reads was performed by aligning to all the tRNA gene sequences 663 plus extension with CCA on their 3' ends. This enhanced the removal of cytoplasmic tRNA 664 fragments. The remaining reads were mapped to the genome and those that failed to match were 665 aligned to a custom transcriptome, created by splicing together annotated exons. Read lengths 666 were assessed with the FastQC software (Babraham Bioinformatics).

667 All reads that aligned to multiple coding sequences were discarded. Read occupancy was 668 determined by giving one count per read at its 3' end and in some cases shifted to align with 669 various active sites in the ribosome (i.e. start of the P site) as described below. However, reads 670 were assigned to 5' ends for mRNA-Seq analysis. These mRNA-Seq reads were also trimmed of 671 3' consecutive As after alignment and remapped to include those near poly(A) tails, as was done 672 previously (Guydosh and Green, 2014). Read counts were then normalized by dividing by the 673 total number of million mapped reads in a sample. Alignments were performed with Bowtie 674 (Langmead et al., 2009) and included the parameters: -y -a -m 1 --best --strata. All footprint 675 alignments to coding sequences allowed for no mismatches; mRNA-Seq alignments allowed 2 676 mismatches. All other analysis software was custom coded in the Python 2.7 programming 677 language and Biopython (Supplementary File 4). Plot construction and correlation analysis was 678 done with Igor Pro (Wavemetrics). In general, regions of transcript analysis that overlapped with 679 other transcripts on the same strand or marked dubious were ignored in the analysis. 680 Gene quantitation (shown in Fig. 2B and used in calculations elsewhere) relied on a shift of 681 -2 for 3' assignment (short reads) or -14 (long reads) and therefore aligns read density roughly 682 with the P site. Total gene ribosome occupancy was quantitated into density units of reads per 683 kilobase per million mapped reads (rpkm) by taking reads mapping to an annotated sequence and 684 dividing by the gene length in kilobases. The reads from the first and last 5 amino acids were not 685 included to prevent known artifacts around start and stop codons from skewing results. 686 Ratio analysis was performed by taking the ratio at every point in the transcriptome between 687 datasets from yeast with and without DTT treatment (Fig. 4A and Supplementary File 2). To be 688 included in Fig. 4A, >1.5 rpm of density had to be present in both datasets. The threshold for 689 detection under DTT exposure (Supplementary File 2) was that the ratio between datasets must

- 690 be >10 and the size of the peak in the +DTT dataset must be at least 2x higher than the average 691 of reads that map to the gene. There were no read density thresholds in the –DTT dataset but 692 positions without any reads were assigned 1 read (0.244 rpm) so that a lower limit to the ratio 693 could be computed. For some analyses, these thresholds were raised higher as noted. These ratios 694 were corrected for changes in mRNA levels (using mRNA-Seq) between the two datasets. 695 Position-average plots were created by averaging together (with equal weight) reads in a defined 696 window for every occurrence of a particular motif (i.e. UG/C) in a list of targets (Fig. 6). 697 MEME 4.11.2 was run with these parameters: -dna -oc . -nostatus -time 18000 -maxsize 698 60000 -mod zoops -nmotifs 5 -minw 34 -maxw 34. 699
- 700

## 701 **References**

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Α



Figure 2 – Figure Supplement 1



Figure 3



Figure 3 – Figure Supplement 1



Figure 3 – Figure Supplement 2











Figure 4 – Figure Supplement 1









# Figure 6 – Figure Supplement 1



Figure 7

